



# City of Edmonton Office of the City Auditor

## Recommendation Follow-up Dashboard Report

As At October 30, 2018

There are 35 outstanding recommendations: 31 are not yet due and 4 are overdue.



1200, Scotia Place, Tower 1  
10060 Jasper Avenue  
Edmonton, AB T5J 3R8  
Phone: 780-496-8300  
[edmonton.ca/auditor](http://edmonton.ca/auditor)



## Closed Recommendations

In the period since our last report (August 17, 2018) the administration **closed four recommendations**

<b>Project Name</b>	<b>Department</b>	<b>Recommendation</b>
1. Corporate Culture	Employee Services	Rec 2 – Identify and Formalize Corporate Culture Office Governance Structure and Conduct Outreach
2. City Pesticide Use	Citizen Services	Rec 2 – Compliance with Regulations
3. Sand Recycling Audit	City Operations	Rec 2 – Performance Management System
4. Waste Services Audit	City Operations	Rec 5 – Improve Business Case Process



## Overdue Recommendations

There are four overdue recommendations. They are all **less than one year overdue.**

<b>Project Name</b>	<b>Department</b>	<b>Recommendation</b>	<b>Months Overdue</b>	<b>Revised Due Date</b>
1. Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	10	12/31/2018
2. Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 4 - Vendor Master File Monitoring	10	11/30/2018
3. Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities	4	12/31/2018
4. Waste Services Audit	City Operations	Rec 3 - Regulated versus Non-Regulated Allocation Methodology	3	12/14/2018



# Recommendations Not Yet Due

There are 31 recommendations that are **not yet due**.

Project Name	Department	Recommendation	Months Until Due	Due Date
1. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 1 - Assess Patrol Staffing Models	2	12/31/2018
2. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 2 - Improve Patrol Staffing Model Data Reliability and Accuracy	2	12/31/2018
3. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 3 - Reduce Patrol Constable Self-deployment	2	12/31/2018
4. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 4 - Investigative Support Bureau Staffing Process	2	12/31/2018
5. Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 5 - Funding Formula Clarity	2	12/31/2018
6. 2017 Municipal Election	Office of the City Clerk	Rec 2 - Voting Station Oversight	2	12/31/2018
7. Corporate Culture	Employee Services	Rec 1 - Address Perceptions in Corporate Transformation	2	12/31/2018
8. Waste Services Audit	City Operations	Rec 1 - Performance Management Framework Implementation	2	12/31/2018
9. Waste Services Audit	City Operations	Rec 2 - Operational Performance Reporting	2	12/31/2018
10. Waste Services Audit	City Operations	Rec 6 - Improve Project Management	2	12/31/2018
11. Waste Services Audit	City Operations	Rec 8 - Preventative Maintenance Processes	2	12/31/2018
12. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 1 - Document Roles and Responsibilities	2	12/31/2018
13. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 2 - Revise Policies and Develop operational Procedures	2	12/31/2018

<b>Project Name</b>	<b>Department</b>	<b>Recommendation</b>	<b>Months Until Due</b>	<b>Due Date</b>
14. Affordable Housing Strategies Review	Citizens Services	Rec 4 - Develop a Performance Management Framework	<b>2</b>	12/31/2018
15. Investigation CPFS (eServices) Project 2010-2015	Urban Form and Corporate Strategic Development	Rec 1 – Improve Fraud Awareness	<b>2</b>	12/31/2018
16. Investigation CPFS (eServices) Project 2010-2015	Urban Form and Corporate Strategic Development	Rec 2 – Update Contract Management Practices	<b>2</b>	12/31/2018
17. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 1 – Determine the Program Owner	<b>3</b>	1/31/2019
18. Waste Services Audit	City Operations	Rec 4 - Strategic Update	<b>4</b>	2/28/2019
19. City Pesticide Use Audit	Citizens Services	Rec 3 - Public Communications	<b>5</b>	3/31/2019
20. Affordable Housing Strategies Review	Citizens Services	Rec 1 - Improve Financial Tracking	<b>5</b>	3/31/2019
21. Affordable Housing Strategies Review	Citizens Services	Rec 2 - Review Developer Sponsored Affordable Housing Program	<b>5</b>	3/31/2019
22. Affordable Housing Strategies Review	Citizens Services	Rec 3 - Comply with Grant and Other City Contributions Directive	<b>5</b>	3/31/2019
23. City Pesticide Use Audit	City Operations	Rec 1 - Integrated Pest Management Policy Update	<b>8</b>	6/30/2019
24. Investment Policy Review	Financial and Corporate Services	Rec 1 - Revise Investment Policies	<b>8</b>	6/30/2019
25. Information Technology – Disaster Recovery Planning	Financial and Corporate Services	Rec 2 - <i>In Private</i>	<b>8</b>	6/30/2019
26. Affordable Housing Strategies Review	Citizens Services	Rec 5 - Update Policies	<b>8</b>	6/30/2019
27. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 2 – Develop a Performance Management Framework	<b>14</b>	12/31/2019

<b>Project Name</b>	<b>Department</b>	<b>Recommendation</b>	<b>Months Until Due</b>	<b>Due Date</b>
28. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 3 – Improve Program	14	12/31/2019
29. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 3 - Review and Assess Service Level Performance	14	12/31/2019
30. 2017 Municipal Election	Office of the City Clerk	Rec 1 - Information Technology Support	26	12/31/2020
31. Information Technology – Disaster Recovery Planning	Financial and Corporate Services	Rec 1 - Fully Implement the Disaster Recovery Program	39	12/31/2021

This project was conducted in accordance with the *International Standards for the Professional Practice of Internal Auditing*