



Office of the City Auditor

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Office of the City Auditor

Recommendation Follow-up

Dashboard Report

As at November 14, 2017

The Office of the City Auditor conducted this
project in accordance with the
*International Standards for the
Professional Practice of Internal Auditing*

Office of the City Auditor Recommendation Follow-up Dashboard Report

As at November 14, 2017

As at November 14, 2017 the administration has 34 recommendations from the Office of the City Auditor that are outstanding. Based on the implementation dates provided by the administration, 25 of these recommendations are not yet due and 9 are overdue.

In the period since our last report (August 31, 2017) the administration has closed the following recommendation:

*One
recommendation
closed*

Project # and Name	Department	Recommendation
1. 16413 - Facade Improvement and Development Incentive Programs Review	Regional and Economic Development	Rec 3 - Compliance with Policies and Procedures

The following tables contain the outstanding recommendations listed in the following categories:

Colour	Category	# of Recommendations
Red	More than one year overdue	0
Yellow	Less than one year overdue	9
Green	Not yet due	25

*Nine
recommendations
outstanding*

Recommendations less than one year overdue				
Project # and Name	Department	Recommendation	Months Overdue	Revised Due Date
1. 16406 - Sand Recycling Audit	City Operations	Rec 1 - Winter Street Sand Recycling and Mixing Program Review	4	12/31/2017
2. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 1 - Review, Update, and Enforce Directive and Procedures	4	8/11/2017
3. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 2 - Travel Coordinator Use and Training	4	8/11/2017
4. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 3 - Track Employee Travel Corporately	1	
5. 16413 - Façade Improvement and Development Incentive Programs Review	Regional and Economic Development	Rec 1 - Façade Improvement Program Policy and Development Incentive Program Policy Improvements	1	
6. 16413 - Façade Improvement and Development Incentive Programs Review	Regional and Economic Development	Rec 2 - Improve Grant Program Monitoring	1	
7. 16406 - Sand Recycling Audit	City Operations	Rec 2 - Performance Management System	0	7/31/2018
8. 16406 - Sand Recycling Audit	City Operations	Rec 3 - Quality Assurance on Contract Content	0	7/31/2018
9. 16406 - Sand Recycling Audit	City Operations	Rec 4 - Program Management and Oversight	0	7/31/2018

*Twenty five
recommendations
not yet due*

Recommendations not due				
Project # and Name	Department	Recommendation	Months Until Due	Due Date
1. 17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 3 - Vendor Master File Clean Up	1	11/30/2017
2. 15391 - Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	2	12/30/2017
3. 15396 -	Integrated	Rec 3 - Public	2	12/31/2017

Twenty five
recommendations
not yet due

Recommendations not due					
Project # and Name	Department	Recommendation	Months Until Due	Due Date	
	Neighbourhood Renewal	Infrastructure Services	Communications Improvements		
4.	16404 - Utilities Infrastructure Audit	Integrated Infrastructure Services	Rec 1 - Overtime Use and Monitoring Guidelines	2	12/31/2017
5.	16417 - Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 2 - Tender Price and Evaluation Pricing	2	12/31/2017
6.	16417 - Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 3 - Evaluation Plan	2	12/31/2017
7.	16417 - Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 4 - Documentation of Addenda	2	12/31/2017
8.	16417 - Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 5 - Tender Open Period	2	12/31/2017
9.	16417 - Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 6 - Insufficient Bid Response to Tenders	2	12/31/2017
10.	16417 - Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 7 - Documentation of Mandatory Requirements	2	12/31/2017
11.	16417 - Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 8 - Documentation of Bid Evaluations	2	12/31/2017
12.	17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 1 - Revise policies, procedures, and work instructions	2	12/31/2017
13.	17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 2 - Confirmation of addition or change to vendor	2	12/31/2017
14.	17420 - Continuous Auditing - Vendor Master File Audit	Financial and Corporate Services	Rec 4 - Vendor Master File Monitoring	2	12/31/2017
15.	17421 - Pedestrian Crosswalks	City Operations	Rec 2 - Guidelines for New Types of	2	12/31/2017

Twenty five
recommendations
not yet due

Recommendations not due				
Project # and Name	Department	Recommendation	Months Until Due	Due Date
		Pedestrian Crosswalk Devices		
16. 17421 - Pedestrian Crosswalks	City Operations	Rec 4 - Pedestrian Crosswalk Monitoring and Evaluation	2	12/31/2017
17. 16415 - POSSE System Review	Urban Form and Corporate Strategic Services	Rec 2 - Determine the Value and Total Cost of POSSE	4	2/28/2018
18. 16417 - Competitive Procurement Evaluation Process Audit	Financial and Corporate Services	Rec 1 - Identification of Mandatory Requirements	5	3/31/2018
19. 16412 - Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities	8	6/30/2018
20. 17421 - Pedestrian Crosswalks	City Operations	Rec 3 - Pedestrian Crosswalks Construction Standards	8	6/30/2018
21. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 1 - Assess patrol staffing models	14	12/31/2018
22. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 2 - Improve patrol staffing model data reliability and accuracy	14	12/31/2018
23. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 3 - Reduce patrol constable self-deployment	14	12/31/2018
24. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 4 - Investigative Support Bureau staffing process	14	12/31/2018
25. 16411 - Edmonton Police Service Staffing Audit	Edmonton Police Services	Rec 5 - Funding Formula Clarity	14	12/31/2018