



City of Edmonton Office of the City Auditor

Recommendation Follow-up Dashboard Report

As At March 29, 2019

There are 27 outstanding recommendations: 18 are not yet due and 9 are overdue.



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Closed Recommendations

In the period since our last report (January 31, 2019) the administration **closed seven recommendations**

Project Name	Department	Recommendation
1. Affordable Housing Strategies Review	Citizens Services	Rec 2 – Review Developer Sponsored Affordable Housing Program
2. City Pesticide Use	Citizen Services	Rec 3 – Public Communications
3. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 1 – Determine the Program Owner
4. ETS Workforce Investigation	City Operations	Rec 1 – Provide Information to Government of Alberta
5. ETS Workforce Investigation	City Operations	Rec 2 – Improve Internal Controls
6. Information Technology – Disaster Recovery Planning	City Operations	Rec 6 – Improve Project Management
7. Waste Services Audit	City Operations	Rec 3 – Regulated versus Non-Regulated Allocation Methodology

Overdue Recommendations

There are nine overdue recommendations.

More than one year overdue:

Project Name	Department	Recommendation	Months Overdue	Revised Due Date
1. Bus and LRT Review	City Operations	Rec 3 - Transit Funding and Fares	15	12/31/2019

Less than one year overdue:

Project Name	Department	Recommendation	Months Overdue	Revised Due Date
2. Employee Business Expense Audit	Financial and Corporate Services	Rec 4 - Examine Improvement Opportunities	9	3/15/2019
3. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 2 - Improve Patrol Staffing Model Data Reliability and Accuracy	3	3/31/2019
4. Edmonton Police Service Staffing Audit	Edmonton Police Service	Rec 1 - Assess Patrol Staffing Models	3	3/31/2019
5. 2017 Municipal Election	Office of the City Clerk	Rec 2 - Voting Station Oversight	3	12/31/2019
6. Waste Services Audit	City Operations	Rec 1 - Performance Management Framework Implementation	3	4/30/2019
7. Waste Services Audit	City Operations	Rec 2 - Operational Performance Reporting	3	4/30/2019
8. Waste Services Audit	City Operations	Rec 8 - Preventative Maintenance Processes	3	6/30/2019
9. Waste Services Audit	City Operations	Rec 4 - Strategic Update	1	8/15/2019

Recommendations Not Yet Due

There are 18 recommendations that are **not yet due**.

Project Name	Department	Recommendation	Months Until Due	Due Date
1. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 1 - Improve Governance Structure and Processes	1	4/30/2019
2. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 3 - Implement a Collaborative Budgeting Process	1	4/30/2019
3. City Pesticide Use Audit	City Operations	Rec 1 - Integrated Pest Management Policy Update	3	6/30/2019
4. Investment Policy Review	Financial and Corporate Services	Rec 1 - Revise Investment Policies	3	6/30/2019
5. Affordable Housing Strategies Review	Citizens Services	Rec 5 - Update Policies	3	6/30/2019
6. Consultant Services Review	Financial and Corporate Services	Rec 1 - Training and Quality Assurance	3	6/30/2019
7. Consultant Services Review	Financial and Corporate Services	Rec 2 - Reducing Unplanned Change Orders	3	6/30/2019
8. Africa Centre Governance and Management Review	Africa Centre Board of Directors	Rec 2 - Update Governing Documents	3	6/30/2019
9. Accounts Payable Audit	Financial and Corporate Services	Rec 3 - Implement Process to Update Kofax and Provide More Training	3	6/30/2019
10. Touch the Water Project Audit	Integrated Infrastructure Services	Rec 1 - Ensure Council is Informed on Project Delays Impacting Grant Funding	3	6/30/2019
11. Accounts Payable Audit	Financial and Corporate Services	Rec 4 - Develop Performance Measures	6	9/30/2019

Project Name	Department	Recommendation	Months Until Due	Due Date
12. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 2 - Develop a Performance Management Framework	9	12/31/2019
13. Community Projects in Neighbourhood Parks Process Audit	Integrated Infrastructure Services	Rec 3 - Improve Program	9	12/31/2019
14. Current Planning Reserve Audit	Urban Form and Corporate Strategic Development	Rec 3 - Review and Assess Service Level Performance	9	12/31/2019
15. Accounts Payable Audit	Financial and Corporate Services	Rec 2 - Improve Controls over Accounts Payable System	9	12/31/2019
16. Accounts Payable Audit	Financial and Corporate Services	Rec 1 - Improve Controls over Receiving Non-Purchase Order Transactions	12	3/31/2020
17. 2017 Municipal Election	Office of the City Clerk	Rec 1 - Information Technology Support	21	12/31/2020
18. Information Technology – Disaster Recovery Planning	Financial and Corporate Services	Rec 1 - Fully Implement the Disaster Recovery Program	34	12/31/2021

This project was conducted in accordance with the
*International Standards for the Professional Practice of
Internal Auditing*